

CLAIMS RESOLUTION TRIBUNAL

In re Holocaust Victim Assets Litigation
Case No. CV96-4849

Certified Denial

to Claimant [REDACTED]

in re Accounts of Karl Neumann

Claim Number: 400632/SB

This Certified Denial is based on the claim of [REDACTED] (the “Claimant”) to the published accounts of Karl Neumann (the “Account Owner”) at the [REDACTED] (the “Bank”).

All denials are published, but where a claimant has requested confidentiality, as in this case, the names of the claimant, any relatives of the claimant other than the account owner, and the bank have been redacted.

Information Provided by the Claimant

The Claimant submitted a Claim Form, in 2005, asserting that his father, Karl Neumann, who was born on 19 May 1896 in Vienna, Austria, and was married to [REDACTED] on 10 March 1932 in Vienna, owned a Swiss bank account. The Claimant stated that his father, who was Jewish, was a store owner who resided in Vienna. The Claimant further stated that his father was deported in 1941 to Ferramonti concentration camp in Italy, where he remained until 1944, and that upon his liberation, he emigrated to the United States. The Claimant stated that his father died on 5 October 1990 in Overland Park, Kansas, the United States. The Claimant indicated that he was born on 26 July 1938 in Vienna.

The Claimant submitted documents in support of his claim, including his parents’ marriage certificate, dated 10 March 1932, which indicates that his father resided in Vienna.

Information Available in the Bank’s Records

The CRT notes that the Claimant submitted a claim to an account belonging to his relative, Karl Neumann. The auditors who carried out the investigation to identify accounts of Victims of Nazi Persecution pursuant to instructions of the Independent Committee of Eminent Persons (“ICEP” or the “ICEP Investigation”) reported three accounts whose owner’s name matches that provided by the Claimant. Each account is identified below by its Account Identification Number, which is a number assigned to the account by the ICEP auditors for tracking purposes.

Accounts 1012434, 1012435 and 1012436

The Bank's records indicate that the Account Owner was Karl Neumann. The Bank's records also indicate the Account Owner's profession, city and country of residence, together with the dates of opening of one of the accounts at issue and closing of all of the accounts at issue.

The CRT's Analysis

Admissibility of the Claim

The CRT has determined that the claim is admissible according to Article 18 of the Rules Governing the Claims Resolution Process, as amended (the "Rules").

Identification of the Account Owner

The CRT concludes that the Claimant has not identified the Account Owner as his relative. Although the name of his father matches the published name of the Account Owner, the information provided by the Claimant differs materially from the unpublished information about the Account Owner available in the Bank's records. Specifically, the Claimant stated that his father resided in Austria. In contrast, the Bank's records show that the Account Owner resided in a different country, which the Claimant did not identify. Consequently, the CRT is unable to conclude that the Account Owner and the Claimant's father are the same person.

Right of Appeal

Pursuant to Article 30 of the Rules, the Claimant may appeal this Denial to the Court through the Special Masters within ninety (90) days of the date of the letter accompanying this decision. Appeals should be delivered to the following address: Office of Special Master Michael Bradfield, 51 Louisiana Ave., NW, Washington, DC 20001 USA.

The Claimant should send appeals in writing to the above address and should include all reasons for the appeal. If more than one account has been denied in this Certified Denial, the Claimant should identify the Account Identification Number, which forms the basis of the appeal. Appeals submitted without either a plausible suggestion of error or relevant new evidence may be summarily denied.

Scope of the Denial

The Claimant should be aware that the CRT will carry out further research on his claim to determine whether an award may be made based upon the information provided by the Claimant or upon information from other sources.

Certification of the Denial

The CRT certifies this Denial for approval by the Court.

Claims Resolution Tribunal
8 August 2006